|   |  |                      |  | MENTS/AWAR  |  | MENTS                                 |   |   |  |
|---|--|----------------------|--|---|--|---------------------------------------|---|---|--|
|   | **Pl   | ease refer to the In | structions sheet fo                                | or directions on filli  | ng out this form**                                 |                                       |   |   |  |
| 1. Submitted to (check only one):   | [X] FHWA   | [ ] FAA              | [ ]FTA\  | Vendor Number   |  |                                       | ı   |   |  |
| AIP Numbers (FAA Recipients Only):  |  |                      |  |   |  |                                       |   |   |  |
|   |  |                      |  |   |  |                                       |   |   |  |
| Federal fiscal year in which reporting period falls:  | FY 2012-20   | 13                   |  | 4. Date This Report   | Submitted: amen                                    | ded - Decemb                          | er 17, 2013   |   |  |
| 5. Reporting Period   |  |                      |  | K ] For period Apr. 1, 2013 -Sept. 30, 20                                     |  |                                       | [ ] FAA annual report due Dec. 1                      |   |  |
| 6. Name and address of Recipient: SHA, 707 N. Calve   | rt St. Baltim  | ore MD 212           | 02   |   |  |                                       |   |   |  |
| 7. Annual DBE Goal(s): <b>14.56%</b>  | Race Conscious Goal: 19.30% Race Neutral Goal: 5.0 (GOAL AND RC/RN SPLIT IS BASED ON APPROVED 3-YEAR GOAL METHODOLOGY) |                      |  |   |  |                                       |   |   |  |
|   | Α  | В                    | С  | D   | Е  | F                                     | G   | Н   | ı  |
| COMMITMENTS/AWARDS MADE DURING THIS REPORTING PERIOD  (total contracts and subcontracts committed during this reporting period) | Total Dollars  | Total Number         | Total to DBEs<br>(dollars)<br>[E+G]                | Total to DBEs<br>(number)<br>[F+H]  | Total to DBEs /Race Conscious (dollars)            | Total to DBEs/Race Conscious (number) | Total to<br>DBEs/Race<br>Neutral (dollars)            | Total to<br>DBEs/Race<br>Neutral (number) | Percentage of<br>total dollars to<br>DBEs<br>[C/A]   |
| Prime contracts awarded this period   | \$339,526,792  | 167                  | \$10,030,908                                       | 5   | 0  | 0                                     | \$10,030,910  | 5   | 3.0%   |
| Subcontracts committed/awarded this period  | \$51,203,130   | 404                  | \$39,406,579                                       | 311   | \$32,436,564                                       | 255                                   | \$6,970,015   | 56  | 77.0%  |
| 9.5 Totals  | , , , , , , ,  | 571                  | \$49,437,487                                       | 316   | \$32,436,564                                       | 255                                   | \$17,000,925  | 61  | 14.6%  |
|   | А  | В                    | С  | D   | Е  | F                                     | G   | Н   | I  |
| DBE COMMITMENTS/AWARDS THIS REPORTING PERIOD-BREAKDOWN BY ETHNICITY & GENDER  | Black<br>American  | Hispanic<br>American | Native<br>American                                 | Subcont. Asian<br>American  | Asian-Pacific<br>American                          | Non-Minority<br>Women                 | Other (i.e. not of<br>any other group<br>listed here) | TOTALS<br>[A+B+C+D+E+F+G]                 | Year - End<br>TOTALS                                 |
| 10. Total Number of Contracts (Prime and Sub)   | 54   | 66                   | 4  | 15  | 12   | 165                                   | 0   | 316                                       |  |
| 11. Total Dollar Value  | \$4,118,553  | \$17,908,031         | \$490,288  | \$4,857,718   | \$3,605,182  | \$18,457,714                          | \$0   | \$49,437,486                              |  |
|   |  |                      |  |   |  |                                       |   |   |  |
|   | A  |                      | B  |   | C  |                                       | D   |   | E  |
| ACTUAL PAYMENTS ON CONTRACTS COMPLETED THIS REPORTING PERIOD  | Number of Prime Contracts<br>Completed   |                      | Total Dollar Value of Prime<br>Contracts Completed |   | DBE Participation Needed to<br>Meet Goal (Dollars) |                                       | Total DBE Participation (Dollars)                     |   | Percentage of<br>Total DBE<br>Participation<br>[D/B] |
| 12. Race Conscious  | 19   |                      | \$58,866,405                                       |   | \$11,361,216                                       |                                       | \$9,872,017   |   | 16.8%  |
| 13. Race Neutral  | 1  |                      | \$1,957,398  |   |  |                                       | \$1,957,398   |   | 100.0%   |
| 14. Totals  | 20   |                      | \$60,823,803                                       |   |  |                                       | \$11,829,415  |   | 19.4%  |
| 15. Submitted by: (Print Name of Authorized Representative)  Valerie Paton - vpaton@sha.state.md.us                             |  |                      |  | 16. Signature of Authorized Representative Doreen M. Winey, Director, OEO/SHA |  |                                       |   |   |  |
| 17. Phone Number: 410-545-0319  |  |                      |  | 18. Fax Number:   |  |                                       |   |   |  |